

amended appendix b - fee maintenance form for advisor splits

instructions: complete this form to initiate fee changes for the current quarter/month/year, depending on account billing cycle, as of the effective date stated below. please remit this form no later than five business days before quarter/month end in order for it to be effective in the current period. this change will remain in effect until instructed otherwise.

client name(s)	
account(s)	
☐ custodian for above account(s)	☐ financial planning fee
	current financial planning fee for accounting verification only: \$ _____
commission rate for above account(s) <i>(enter using decimals; ie: 20%, 40%, etc.)</i>	
effective date	

a. update to commission split	
name(s) of advisor/consultant/other party	percentage <i>(enter using decimals)</i> <i>(all percentages must equal 100%)</i>

☐ i certify that the client has been made aware of this change in servicing advisor and the appropriate regulatory documents (adv 2b, etc.) have been delivered due to this change, if applicable.

advisor signature: _____ **date:** _____